

An Algebraic Representation of the Fixed-Point Closure of $*$ -Continuous Kleene Algebras

A Categorical Chomsky-Schützenberger Theorem

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Content

- The Chomsky-Schützenberger-Theorem: how to obtain $\mathcal{C}X^*$ from $\mathcal{R}(X \dot{\cup} \Delta)^*$ and Dyck's language $D_X \in \mathcal{C}(X \dot{\cup} \Delta)^*$
- Subcategories $\mathbb{D}\mathcal{A}$ of the category \mathbb{D} of idempotent semirings
 - $\mathbb{D}\mathcal{R} = *$ -continuous Kleene algebras
 - $\mathbb{D}\mathcal{C} = \mu$ -continuous Chomsky algebras

There is an adjunction $Q_{\mathcal{R}}^{\mathcal{C}} : \mathbb{D}\mathcal{R} \rightleftarrows \mathbb{D}\mathcal{C} : Q_{\mathcal{C}}^{\mathcal{R}}$ where

- $Q_{\mathcal{R}}^{\mathcal{C}}$ gives the \mathcal{C} -completion or “fixed-point closure”
 - $Q_{\mathcal{C}}^{\mathcal{R}}$ is the forgetful functor (aka restriction of μ to $*$)
- Algebraic representation: $Q_{\mathcal{R}}^{\mathcal{C}}(K) = Z_{\mathcal{R}\Delta^*/\rho}(K \otimes_{\mathcal{R}} \mathcal{R}\Delta^*/\rho)$
 - For $K = \mathcal{R}X^*$: $RegExp(X \dot{\cup} \Delta)$ name $\mathcal{C}X^* = Q_{\mathcal{R}}^{\mathcal{C}}(\mathcal{R}X^*)$

The classical CST for free monoids

- X^* = $(X^*, \cdot, 1)$ the free monoid generated by the fin.set X
- $M[\Delta]$ = the free extension of the monoid M by the set Δ
- = all interleaved sequences of elements of M and Δ^*

Theorem (Chomsky/Schützenberger 1963)

Let X be a finite set and

- $\Delta_2 = \{b, d, p, q\}$ a set of two bracket pairs b, d and p, q ,
- $h_{X^*} : X^*[\Delta_2] \rightarrow X^*$ the bracket-erasing homomorphism,
- $D_X \in \mathcal{C}(X^*[\Delta_2])$ Dyck's language, the least $S \subseteq X^*[\Delta_2]$ s.th.

$$S \geq 1 + X + bSd + pSq + SS.$$

Then: $\mathcal{C}X^* = \{h_{X^*}(R \cap D_X) \mid R \in \mathcal{R}(X^*[\Delta_2])\}$.

Our goal: an algebraic construction of $\mathcal{C}X^*$ from $\mathcal{R}X^*$ itself.

Categories $\mathbb{D}\mathcal{A}$ of Dioids

- \mathbb{M} the category of monoids $(M, \cdot, 1)$ and homomorphisms,
- \mathbb{D} the category of **dioids** = idempotent semirings $(D, +, \cdot, 0, 1)$ and semiring homomorphisms.

A **monadic operator** \mathcal{A} (Hopkins 2008) is a functor $\mathcal{A} : \mathbb{M} \rightarrow \mathbb{D}$ such that for all monoids M, N and homomorphisms $f : M \rightarrow N$

- A_0 $\mathcal{A}M$ is a set of subsets of M ,
- A_1 $\mathcal{A}M$ contains each finite subset of M (hence $\emptyset, \{1\}$),
- A_2 $\mathcal{A}M$ is closed under elem.wise product (hence a monoid),
- A_3 $\mathcal{A}M$ is closed under union of sets from $\mathcal{A}(\mathcal{A}M)$ (hence a dioid),
- A_4 $\mathcal{A}f := \lambda U \{f(m) \mid m \in U\} : \mathcal{A}M \rightarrow \mathcal{A}N$ is a homomorphism.

Theorem (Hopkins 2008)

\mathcal{F} (finite), \mathcal{R} (regular), \mathcal{C} (context-free), \mathcal{T} (r.e.), \mathcal{P} (all sets) are monadic operators. [\mathcal{S} (context-sensitive) does not satisfy A_4]

An \mathcal{A} -dioid is a partially ordered monoid $M = (M, \cdot, 1, \leq)$ which is

- \mathcal{A} -complete: every $U \in \mathcal{A}M$ has a supremum $\sum U \in M$, and
- \mathcal{A} -distributive: for all $U, V \in \mathcal{A}M$, $\sum(UV) = (\sum U)(\sum V)$.

equivalently: for all $a, b \in M, U \in \mathcal{A}M : a(\sum U)b = \sum aUb$.

Prop. $\mathcal{A}M, m \mapsto \{m\}$, is the \mathcal{A} -dioid completion of the monoid M .

Notation: \mathcal{A} -dioids $D = (M, +, \cdot, 0, 1)$ as dioids, with $0, +$ via \sum ,
 $\mathcal{A}D$ for $\mathcal{A}(M, \cdot, 1)$.

For \mathcal{A} -dioids D, D' , an \mathcal{A} -morphism $f : D \rightarrow D'$ is a monotone homomorphism which is \mathcal{A} -continuous, i.e.

$$f(\sum U) = \sum'(Af)(U) \quad \text{for all } U \in \mathcal{A}D..$$

Let $\mathbb{D}\mathcal{A}$ be the category of \mathcal{A} -dioids and \mathcal{A} -morphisms. ($\mathbb{D}\mathcal{F} = \mathbb{D}$.)

Theorem (Hopkins 2008, L./Hopkins 2018)

- \mathbb{DR} is the category of $*$ -continuous Kleene algebras.
- \mathbb{DC} is the category of μ -continuous Chomsky algebras.

Kleene-Algebra (Kozen 1990): right-/left-linearly closed dioid D

$$\begin{array}{l} x \geq ax + b \\ x \geq xa + b \end{array} \text{ has least solution } \begin{array}{l} a^*b \\ ba^* \end{array}, \text{ for all } a, b \in D.$$

***-continuity:** $a \cdot c^* \cdot b = \sum \{a \cdot c^n \cdot b \mid n \in \mathbb{N}\}$, for all $a, b, c \in D$.

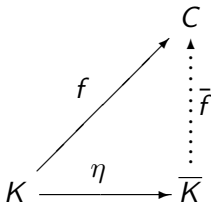
Chomsky-Algebra (Grathwohl e.a. 2015): algebraically closed dioid

*every polynomial system $x_1 \geq p_1(\bar{x}, \bar{y}), \dots, x_n \geq p_n(\bar{x}, \bar{y})$
has a least solution in $\bar{x} = x_1 \dots x_n$, for all values of \bar{y} in D .*

μ -continuity: $a \cdot \mu xp \cdot b = \sum \{a \cdot p^n(0) \cdot b \mid n \in \mathbb{N}\}$, all $p \in D[x]$.

“Fixed-point-closure” = \mathcal{C} -completion

A \mathcal{C} -completion of \mathcal{R} -dioid K is a \mathcal{C} -dioid \bar{K} with an \mathcal{R} -morphism $\eta : K \rightarrow \bar{K}$ such that any \mathcal{R} -morphism $f : K \rightarrow C$ to a \mathcal{C} -dioid C extends uniquely to a \mathcal{C} -morphism $\bar{f} : \bar{K} \rightarrow C$, i.e. $f = \bar{f} \circ \eta$:



Prop. For monoids M , the \mathcal{C} -completion of $\mathcal{R}M$ is $\mathcal{C}M$, with

$$\bar{f}(L) = \sum \{f(\{m\}) \mid m \in L\}, \quad \text{for } L \in \mathcal{C}M,$$

Theorem (Hopkins 2008)

The \mathcal{C} -completion is part of an adjunction $Q_{\mathcal{R}}^{\mathcal{C}} : \mathbb{D}\mathcal{R} \rightleftarrows \mathbb{D}\mathcal{C} : Q_{\mathcal{C}}^{\mathcal{R}}$.

The polycyclic monoid P'_n and \mathcal{A} -dioid $C'_{n,\mathcal{A}} = \mathcal{A}\Delta_n^*/\rho_n$

We are looking for an algebra in which $h_{X^*}(R \cap D_X)$ can be done.

Idea: Use alphabets $\Sigma = X \dot{\cup} \Delta_n$ of **letters** X and **brackets** Δ_n , and languages over Σ in which *letters commute with brackets*.

Let $\Delta_n = P_n \dot{\cup} Q_n$, for $P_n = \{p_0, \dots, p_{n-1}\}$, $Q_n = \{q_0, \dots, q_{n-1}\}$, and $(\Delta_n^*)_0$ the extension of Δ_n^* by an annihilating element 0.

The **polycyclic monoid** P'_n is the quotient monoid $(\Delta_n^*)_0/\rho_n$ where

$$\rho_n = \{p_i q_i = 1 \mid i < n\} \cup \{p_i q_j = 0 \mid i, j < n, i \neq j\}.$$

In P'_n each $w \in \Delta_n^*$ has a *normal form*

$$nf(w) \in \{0\} \cup Q_n^* P_n^*,$$

obtained by cancelling matching brackets $p_i q_i = 1$ (resp. $p_i q_j = 0$).

The normal form $nf(w)$ represents the element w/ρ_n of P'_n . Hence

$$P'_n \simeq (Q_n^* P_n^* \cup \{0\}, \cdot, 1) \quad \text{with } u \cdot v = nf(uv)$$

This extends to $X^*[\Delta_n]_0$ where letters commute with brackets, and nf commutes p_i to the right, q_j to the left, and applies $p_i q_j = \delta_{i,j}$:

$$\begin{aligned} X^* \times P'_n &:= X^*[\Delta_n]_0 / (\rho_n \cup \{wt = tw \mid w \in X^*, t \in \Delta_n\}) \\ &\simeq (Q_n^* X^* P_n^* \cup \{0\}, \cdot, 1) \quad \text{with } u \cdot v = nf(uv) \end{aligned}$$

For new p_n, q_n : $R \subseteq X^*[\Delta_n] \Rightarrow nf(p_n R q_n) \setminus \{0\} = h_{X^*}(R \cap D_X)$.

The polycyclic \mathcal{R} -dioid C'_n is an “ \mathcal{R} -quotient” of $\mathcal{R}\Delta_n^*$ resp. $\mathcal{R}P'_n$.

Then: lift $X^* \times P'_n$ to an “ \mathcal{R} -tensor product” $\mathcal{R}X^* \otimes_{\mathcal{R}} C'_n$ of $\mathcal{R}X^*$ and C'_n where $A \in \mathcal{R}X^*$ and (the quotient of) $B \in \mathcal{R}P'_n$ commute.

An \mathcal{A} -congruence on an \mathcal{A} -dioid D is a dioid-congruence ρ s.th. for all $U, V \in \mathcal{A}D$, if $(U/\rho)^\downarrow = (V/\rho)^\downarrow$, then $(\sum U)/\rho = (\sum V)/\rho$.

Prop. If D is an \mathcal{A} -dioid and ρ an \mathcal{A} -congruence on D , then D/ρ is an \mathcal{A} -dioid and the canonical map $d \mapsto d/\rho$ is an \mathcal{A} -morphism.

For any $E \subseteq D \times D$, there is a least \mathcal{A} -congruence $\rho \supseteq E$ on D .

The polycyclic \mathcal{R} -dioid C'_n is $\mathcal{R}\Delta_n^*/\rho_n$, with \mathcal{R} -congruence ρ_n by

$$\{p_i\}\{q_i\} = \{1\}, \quad \{p_i\}\{q_j\} = \emptyset, \quad (i \neq j).$$

For $A \in \mathcal{R}\Delta_n^*$, $A/\rho_n \in C'_n$ is represented by $\{nf(w) \mid w \in A\} \setminus \{0\}$.

Prop. $C'_n \simeq \mathcal{R}P'_n/(\{0\} = \emptyset)$ where $P'_n \simeq (Q_n^*P_n^* \cup \{0\}, \cdot, 1)$.

The Tensor Product $D_1 \otimes_{\mathcal{A}} D_2$ of \mathcal{A} -Dioids

In a category \mathbb{C} with reducts in \mathbb{M} , a **tensor product** of M_1, M_2 , consists of an object $M_1 \otimes M_2$ with two commuting¹ morphisms

$$\top_1 : M_1 \rightarrow M_1 \otimes M_2 \leftarrow M_2 : \top_2,$$

such that any pair $f : M_1 \rightarrow M \leftarrow M_2 : g$ of commuting morphisms decompose with a unique induced morphism $h_{f,g}$ as shown:

$$\begin{array}{ccccc} M_1 & \xrightarrow{\top_1} & M_1 \otimes M_2 & \xleftarrow{\top_2} & M_2 \\ & \searrow f & \vdots h_{f,g} & \swarrow g & \\ & & M & & \end{array}$$

¹i.e. $\top_1(a)\top_2(b) = \top_2(b)\top_1(a)$ for all $a \in M_1, b \in M_2$

Theorem (MH,HL 2018)

The tensor product $D_1 \otimes_{\mathcal{A}} D_2$ of \mathcal{A} -dioids D_1, D_2 consists of

- $D_1 \otimes_{\mathcal{A}} D_2 = \mathcal{A}(M_1 \times M_2)/\equiv$, where M_i is the multiplicative monoid of D_i and \equiv is the least \mathcal{A} -congruence s.th.

$$\{(\sum A, \sum B)\} \equiv A \times B, \quad \text{for all } A \in \mathcal{A}M_1, B \in \mathcal{A}M_2,$$

- the commuting morphisms $T_1 : D_1 \rightarrow D_1 \otimes_{\mathcal{A}} D_2 \leftarrow D_2 : T_2$,

$$a \mapsto \{(a, 1)\}/\equiv \text{ and } b \mapsto \{(1, b)\}/\equiv, \quad \text{for } a \in D_1, b \in D_2.$$

The induced morphism of $f : D_1 \rightarrow D \leftarrow D_2 : g$ is

$$h_{f,g}(U/\equiv) = \sum \{f(a)g(b) \mid (a, b) \in U\}, \quad U \in \mathcal{A}(M_1 \times M_2).$$

$$\begin{aligned} \text{Notation: } a \otimes b &:= T_1(a)T_2(b) = \{(a, b)\}/\equiv \\ [U] &:= U/\equiv = \sum \{a \otimes b \mid (a, b) \in U\} \end{aligned}$$

Algebraic Representation of the \mathcal{C} -Completion

For \mathcal{A} -dioids D, C , the centralizer of C in $D \otimes_{\mathcal{A}} C$ is

$$Z_C(D \otimes_{\mathcal{A}} C) := \{\varphi \in D \otimes_{\mathcal{A}} C \mid \varphi(1 \otimes c) = (1 \otimes c)\varphi \text{ for all } c \in C\}.$$

This is an \mathcal{A} -dioid, by properties of $\sum : \mathcal{A}(D \otimes_{\mathcal{A}} C) \rightarrow D \otimes_{\mathcal{A}} C$.

Lemma For \mathcal{R} -dioid K ,

$$Z_{C'_2}(K \otimes_{\mathcal{R}} C'_2) = \{[R] \mid R \in \mathcal{R}(K \times C'_2), R \subseteq K \times \{0, 1\}\}.$$

Theorem (Algebraic representation of $Q_{\mathcal{R}}^{\mathcal{C}}(\mathcal{R}M) = CM$)

For each monoid M , $CM \simeq Z_{C'_2}(\mathcal{R}M \otimes_{\mathcal{R}} C'_2)$.

Proof: (using $M = X^*$)

1. For each $L \in \mathcal{CM}$, its elem.wise image has a least upper bound

$$\hat{L} := \sum \{ \{m\} \otimes 1 \mid m \in L \} \in Z_{C'_2}(\mathcal{RM} \otimes_{\mathcal{R}} C'_2).$$

Prf.: For $L \in \mathcal{CX}^*$ there is $R \in \mathcal{R}(X^*[\Delta_n])$ with $L = h_{X^*}(R \cap D_X)$.

Code brackets p_i, q_i of Δ_n by the two pairs b, d and p, q of Δ_2 via

$$\bar{p}_i := bp^{i+1} \in P_2^*p, \quad \bar{q}_i := q^{i+1}d \in qQ_2^*.$$

So in P'_2 : $\bar{p}_i \bar{q}_j = \delta_{i,j}$ and $b\bar{q}_i = 0 = \bar{p}_i d$, hence $b \overline{Q_n^* P_n^*} d = \{0, 1\}$.

For $w \in X^*[\Delta_n]$:

$$\begin{aligned} w \in D_X &\iff h_{\Delta_n^*}(w)/\rho_n = 1 &\iff b \overline{h_{\Delta_n^*}(w)} d / \rho_2 = 1, \\ w \notin D_X &\iff h_{\Delta_n^*}(w)/\rho_n \neq 1 &\iff b \overline{h_{\Delta_n^*}(w)} d / \rho_2 = 0. \end{aligned}$$

Let $h : X^*[\Delta_n] \rightarrow \mathcal{R}X^* \times \mathcal{R}\Delta_n^*/\rho_2$ be the homomorphism

$$w \mapsto (\{h_{X^*}(w)\}, \{\overline{h_{\Delta_n^*}(w)}\}/\rho_2)$$

Then $\mathcal{R}h$ maps $R \in \mathcal{R}(X^*[\Delta_n])$ to some $R' \in \mathcal{R}(\mathcal{R}X^* \times C'_2)$, so

$$U := \{(\{1\}, \{b\}/\rho_2)\} \cdot R' \cdot \{(\{1\}, \{d\}/\rho_2)\} \in \mathcal{R}(\mathcal{R}X^* \times C'_2),$$

$$\begin{aligned} \text{and } [U] &= \sum \{ \{h_{X^*}(w)\} \otimes \{b \overline{h_{\Delta_n^*}(w)} d\} / \rho_2 \mid w \in R \} \\ &= \sum \{ \{h_{X^*}(w)\} \otimes 1 \mid w \in R \cap D_X \} \quad (\{m\} \otimes 0 = 0) \\ &= \sum \{ \{m\} \otimes 1 \mid m \in L \} = \widehat{L}. \end{aligned}$$

Since $U \subseteq \mathcal{R}X^* \times \{0, 1\}$, by the Lemma, $[U] \in Z_{C'_2}(\mathcal{R}X^* \otimes_{\mathcal{R}} C'_2)$.

Then show

- (Algebraic CST) $\widehat{\cdot} : \mathcal{C}M \rightarrow Z_{C'_2}(\mathcal{R}M \otimes_{\mathcal{R}} C'_2)$ is injective.

3. (Algebraic ReverseCST) The map $[R] \mapsto [R]^\vee$ given by

$$[R]^\vee := \bigcup \{A \mid (A, 1) \in R\}, \quad \text{for } R \in \mathcal{R}(\mathcal{R}M \times C'_2),$$

is an injective map $\cdot^\vee : Z_{C'_2}(\mathcal{R}M \otimes_{\mathcal{R}} C'_2) \rightarrow \mathcal{C}M$.

4. $\hat{\cdot}$ and \cdot^\vee are inverse to each other and homomorphisms.
5. $Z_{C'_2}(\mathcal{R}M \otimes_{\mathcal{R}} C'_2)$ is a \mathcal{C} -dioid, $\hat{\cdot}$ and \cdot^\vee are \mathcal{C} -morphisms. \square

Each element of $\mathcal{R}X^* \otimes_{\mathcal{R}} C'_2$ is the value of a regular expression $r \in \text{RegExp}(X \dot{\cup} \Delta_2)$ in the generators X and Δ_2 of $C'_2 = \mathcal{R}\Delta_2^*/\rho_2$.

Theorem (Algebraic representation of $Q_{\mathcal{R}}^{\mathcal{C}}(K)$ for $K \in \mathbb{DR}$)

For each $*$ -continuous Kleene algebra K ,

- $Z_{C'_2}(K \otimes_{\mathcal{R}} C'_2)$ is a \mathcal{C} -dioid, i.e. μ -continuous Chomsky algebra,
- $Z_{C'_2}(K \otimes_{\mathcal{R}} C'_2)$ is the \mathcal{C} -completion $Q_{\mathcal{R}}^{\mathcal{C}}(K)$ of K .

Corollary

For any $K \in \mathbb{DR}$, there is a \mathcal{C} -morphism $\hat{\cdot} : \mathcal{C}K \rightarrow Z_{C'_2}(K \otimes_{\mathcal{R}} C'_2)$,
 $\hat{L} := \sum \{m \otimes 1 \mid m \in L\}$, such that $\mathcal{C}K / \ker(\hat{\cdot}) \simeq Z_{C'_2}(K \otimes_{\mathcal{R}} C'_2)$.

The “categorical Chomsky-Schützenberger Theorem” is

$$Q_{\mathcal{R}}^{\mathcal{C}}(K) \simeq Z_{C'_2}(K \otimes_{\mathcal{R}} C'_2),$$

the analogue of the CST $\mathcal{C}X^* \subseteq \{h_{X^*}(R \cap D_X) \mid R \in \mathcal{R}(X^*[\Delta_2])\}$.

Application: Regular expressions for context-free languages

Let $L = \{a^n cb^n \mid n \in \mathbb{N}\} \in \mathcal{C}X^*$ and $\Delta_2 = \{\langle 0, |0\rangle, \langle 1, |1\rangle\}$.

For $w \in X^*$ and $t \in \Delta_2^*$ write wt for $\{w\} \otimes \{t\} / \rho_2 \in \mathcal{R}X^* \otimes_{\mathcal{R}} C_2'$.

$$\begin{aligned} \langle 0|(a\langle 1|)^*c(|1\rangle b)^*|0\rangle &= \sum_{n,m \in \mathbb{N}} \langle 0|(a\langle 1|)^n c(|1\rangle b)^m |0\rangle \quad (*\text{-continuity}) \\ &= \sum_{n,m \in \mathbb{N}} a^n cb^m \underbrace{\langle 0|\langle 1|^n |1\rangle^m |0\rangle}_{\delta_{n,m}} \quad (x, t \text{ commute}) \\ &= \sum_{n \in \mathbb{N}} a^n cb^n = \widehat{L} = [U] \quad \text{for} \end{aligned}$$

$$U = \{(\{a^n cb^m\}, \{\langle 0|\langle 1|^n |1\rangle^m |0\rangle\}) / \rho_2 \mid n, m \in \mathbb{N}\} \in \mathcal{R}(\mathcal{R}X^* \times C_2').$$

Elements of $Z_{C_2'}(\mathcal{R}X^* \otimes_{\mathcal{R}} C_2')$ are those $\langle 0|r|0\rangle$ where r has $\langle 0, |0\rangle$ only in codes $\overline{p_i} = \langle 0|\langle 1|^{i+1}$, $\overline{q_i} = |1\rangle^{i+1}|0\rangle$ of other brackets p_i, q_i .

CST-proof: $CF \ni G \mapsto r_G \in RegExp$ with $L(G) = h_{X^*}(L(r_G) \cap D_X)$

CF-grammar:

$$y \geq ayb + c$$

wrap rhs variables by brackets:

$$y \geq a\langle 1|y|1\rangle b + c$$

add continuation variables y_F :

$$y \geq a\langle 1|y|1\rangle b y_F + c y_F$$

$$y_F \geq 1$$

break into right-linear

initial- and follow-factors:

$$y \geq a\langle 1|y + c y_F$$

$$y_F \geq 1 + |1\rangle b y_F$$

Least solution

$$L = \{a^n c b^n \mid n \in \mathbb{N}\} \in \mathcal{C}X^*$$

$$L_\Delta = \{(a\langle 1|)^n c(|1\rangle b)^n \mid n \in \mathbb{N}\}$$

$$L_\Delta \in \mathcal{C}(X^*[\Delta])$$

$$L = h_{X^*}(L_\Delta)$$

$$R = (a\langle 1|)^* c(|1\rangle b)^* \in \mathcal{R}(X^*[\Delta])$$





$$L_\Delta = R \cap D_X \quad \square$$

We constructed a $*$ -continuous Kleene algebra $K \supseteq \mathcal{C}X^*$ s.th.

$$L = \mu y (ayb + c)^{\mathcal{C}X^*} \simeq \langle 0|(a\langle 1|)^* c(|1\rangle b)^* |0\rangle^K.$$

Open Problems

1. Application in parser generation and compilation, e.g.
 - automata of $\langle 0|r_G|0 \rangle$ for parsing with CFG G
 - μ -terms of depth n vs. $Dyck_n$, balanced brackets of depth n
2. Which $\mathbb{D}\mathcal{A}$ are closed under $n \times n$ -matrix semiring formation ?
3. A similar algebra of “Turing-expressions” for \mathcal{TX}^* in \mathbb{DT} ?
4. A context-sensitive cat. $\mathbb{D}\mathcal{S}$ with “non-erasing”-homomorphisms?

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